

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

On 3/4/03

DELTAGEN, INC.

By: Deborah A. Mojarro  
Deborah A. Mojarro

PATENT  
Attorney Docket No.: R-236

RECEIVED

MAR 17 2003

TECH CENTER 1600/2900

#13  
006  
6/26/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Russell PHILLIPS et al.

Application No.: 10/021,416

Filed: November 5, 2001

For: Secreted Protein Associated With  
Depression, Compositions and Methods of  
Use Thereof

Examiner: Unassigned

Art Unit: 1645

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-1271. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

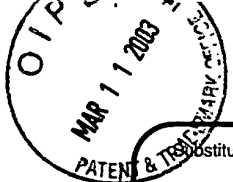
Respectfully submitted,

  
Mariette A. Lapiz, Reg. No. 44,2028

DELTAGEN, INC.  
740 Bay Road  
Redwood City, CA 94063  
Tel: 650-569-5100  
Fax: 650-569-5280

MAL/dam

PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
in response to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2** of **2**

Complete if Known

Applicati n Number	10/021,416
Filing Date	11/5/01
First Named Inventor	Russell Phillips
Art Unit	1645
Examiner Name	Not assigned
Attorney Docket No.	R-236

**RECEIVED****MAR 17 2003****TECH CENTER 1600/2900****OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AC	ALBERTS et al., "GENE TARGETING MAKES IT POSSIBLE TO PRODUCE TRANSGENIC MICE THAT ARE MISSING SPECIFIC GENES" Molecular Biology of the Cell, 1994, pp. 329	
	AD	T. KIRK et al., "SITE-DIRECTED MUTAGENESIS BY GENE TARGETING IN MOUSE EMBRYO-DERIVED STEM CELLS" Cell, Vol. 51, November 6, 1987, pp. 503-512	
	AE	M. COHEN-TANNOUDJI et al. "BEYOND KNOCK-OUT MICE: NEW PERSPECTIVES FOR THE PROGRAMMED MODIFICATION OF THE MAMMALIAN GENOME" Molecular Human Reproduction, Vol. 4, No. 10, October 1998, pp. 929-938	
	AF	M. CAPECCHI, "ALTERING THE GENOME BY HOMOLOGOUS RECOMBINATION" Science, Vol. 244, No. 4910, June 16, 1989, pp. 1288-1292	
	AG	A. BRADLEY, "MODIFYING THE MAMMALIAN GENOME BY GENE TARGETING" Current Opinion in Biotechnology, Vol. 2, 1991, pp. 823-829	
	AH	T. GRIDLEY, "INSERTIONAL VERSUS TARGETED MUTAGENESIS IN MICE" The New Biologist, Vol. 3, No. 11, November 1991, pp. 1025-1034	

Examiner  
SignatureDate  
Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Unsaved Document